

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	27	auCTION same win\$5 same (alarm or alert or indicat\$3)	USPAT	OR	OFF	2005/02/04 12:42
L2	2	auCTION same (win\$5 near10 (alarm or alert or icon))	USPAT	OR	OFF	2005/02/04 12:43
L3	135	(auCTION or bid\$3) same (alarm or alert or icon)	USPAT	OR	OFF	2005/02/04 12:44
L4	15	(auCTION or bid\$3) same (alarm or alert or icon) same high\$3	USPAT	OR	OFF	2005/02/04 12:47
L5	0	(auCTION or bid\$3) same (alarm or alert) same high\$3 same (indicator or icon)	USPAT	OR	OFF	2005/02/04 12:47
L6	1	(auCTION or bid\$3) same (alarm or alert) same high\$3 and (indicator or icon)	USPAT	OR	OFF	2005/02/04 12:48
L7	791	(alert) adj5 (event)	USPAT	OR	OFF	2005/02/04 12:48
L8	0	7 same auCTION	USPAT	OR	OFF	2005/02/04 12:48
L9	1	alert near10 bidder	USPAT	OR	OFF	2005/02/04 12:49
L10	8	(warn\$3 or alarm\$3) near10 (bidder)	USPAT	OR	ON	2005/02/04 12:54
L11	17	(indicator or icon or indicator) same (new) same (bid)	USPAT	OR	ON	2005/02/04 12:55

fully reviewed 2/4/05

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	131803	(note or request or agenda or remark or memo or memorandum or message) near5 (creat\$3 or generat\$3 or produc\$3)	US-PGP UB; USPAT; EPO; JPO; DERWENT	OR	OFF	2005/02/04 11:39
L2	151185	(note or request or agenda or remark or memo or memorandum or message) near5 (creat\$3 or generat\$3 or produc\$3)	US-PGP UB; USPAT; EPO; JPO; DERWENT	OR	ON	2005/02/04 11:39
L3	37	(note or request or agenda or remark or memo or memorandum or message) near5 (creat\$3 or generat\$3 or produc\$3) same ((administator or manager) near5 (sales or auction))	US-PGP UB; USPAT; EPO; JPO; DERWENT	OR	ON	2005/02/04 11:40

fully reviewed L3 2/4/05

S13	1710752	(user (10n) message)	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2005/08/09 19:42
S14	84596	user near10 message	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2005/08/09 19:44
S15	33476	user near10 message	USPAT	OR	ON	2005/08/09 19:45
S16	1870739	S15 (s) (generat\$3 near10 message)	USPAT	OR	ON	2005/08/09 19:46
S17	5352	S15 same (generat\$3 near10 message)	USPAT	OR	ON	2005/08/09 19:46
S18	1159	S17 same (network or internet)	USPAT	OR	ON	2005/08/09 19:46
S19	192	S18 same ((message or text or note) (5n) (topic or subject))	USPAT	OR	ON	2005/08/10 09:11
S20	9349	"6" same ((message or text or note) (5n) (topic or subject))	EPO; DERWENT	OR	ON	2005/08/10 09:10
S21	33476	user near10 message	USPAT	OR	ON	2005/08/10 09:11
S22	5352	S21 same (generat\$3 near10 message)	USPAT	OR	ON	2005/08/10 09:11
S23	1159	S22 same (network or internet)	USPAT	OR	ON	2005/08/10 09:19
S24	0	S23 same ((message or text or note) (5n) (topic or subject))	EPO; DERWENT	OR	ON	2005/08/10 09:11
S25	1	community same (auction or trading) same (local or geographic\$3)	EPO; DERWENT	OR	ON	2005/08/10 09:12
S26	95	(auction or trading) same (local or geographic\$3) same (internet or network)	EPO; DERWENT	OR	ON	2005/08/10 09:17
S27	4	(auction or trading) near10 (discussion)	EPO; DERWENT	OR	ON	2005/08/10 09:17
S28	1	"6532488".pn	USPAT	OR	ON	2005/08/10 09:23
S29	10	"6515681".uref.	USPAT	OR	ON	2005/08/10 09:24
S30	2525	message near10 (associat\$3) near10 user	USPAT	OR	ON	2005/08/10 09:25
S31	1530	S30 and (post or creat\$3 or generat\$3) near5 message	USPAT	OR	ON	2005/08/10 09:26
S32	1549	S30 and (post\$3 or creat\$3 or generat\$3) near5 message	USPAT	OR	ON	2005/08/10 09:26
S33	14	S32 and auction	USPAT	OR	ON	2005/08/10 09:26

reviewed Kwik paragraphs
see circled references H10105

Trying 31060000009999...Open

DIALOG INFORMATION SERVICES

PLEASE LOGON:

***** HHHHHHHH SSSSSSSS? ### Status: Signing onto Dialog *****
ENTER PASSWORD:

***** HHHHHHHH SSSSSSSS? *****
Status: Login successfulWelcome to DIALOG

Dialog level 05.10.03D

Last logoff: 02mar06 16:43:51

Logon file405 06mar06 11:15:28

*** ANNOUNCEMENTS ***

NEW FILES RELEASED

***Regulatory Affairs Journals (File 183)

***Index Chemicus (File 302)

***Inspec (File 202)

***Physical Education Index (File 138)

RELOADS COMPLETED

*** The 2005 reload of the CLAIMS files (Files 340, 341, 942)
is now available online.

RESUMED UPDATING

***EDGARPLUS(TM)-Williams Act Filings (File 773)

***EDGARPLUS(TM)-Prospectuses (File 774)

***EDGARPLUS(TM)-Registration Statements (File 775)

***EDGARPLUS(TM)-6K,8K, and 10C Filings (File 776)

***EDGARPLUS(TM)-10-K & 20F Filings (File 778)

***EDGARPLUS(TM)-10-Q Filings (File 779)

***EDGARPLUS(TM)-Proxy Statements (File 780)

***ERIC (File 1)

Chemical Structure Searching now available in Prous Science Drug
Data Report (F452), Prous Science Drugs of the Future (F453),
IMS R&D Focus (F445/955), Pharmaprojects (F128/928), Beilstein
Facts (F390), Derwent Chemistry Resource (F355) and Index Chemicus
(File 302).

>>>For the latest news about Dialog products, services, content<<<
>>>and events, please visit What's New from Dialog at <<<
>>><http://www.dialog.com/whatsnew/>. You can find news about<<<
>>>a specific database by entering HELP NEWS <file number>.<<<
FTXTCOR is set ON as an alias for 15, 9, 810, 275, 476, 610, 275, 476, 624,
636, 621, 613, 813, 16, 160, 634, 148, 20
NFTXTCOR is set ON as an alias for 77, 35, 583, 65, 2, 233, 474, 475, 99,
348,349,347

* * *

SYSTEM:HOME

Cost is in DialUnits

Menu System II: D2 version 1.7.9 term=ASCII

*** DIALOG HOMEBASE(SM) Main Menu ***

Information:

1. Announcements (new files, reloads, etc.)
2. Database, Rates, & Command Descriptions
3. Help in Choosing Databases for Your Topic
4. Customer Services (telephone assistance, training, seminars, etc.)

5. Product Descriptions

Connections:

6. DIALOG(R) Document Delivery
7. Data Star(R)

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/H = Help /L = Logoff /NOMENU = Command Mode

Enter an option number to view information or to connect to an online service. Enter a BEGIN command plus a file number to search a database (e.g., B1 for ERIC).

?

Terminal set to DLINK

*** DIALOG HOMEBASE(SM) Main Menu ***

Information:

1. Announcements (new files, reloads, etc.)
2. Database, Rates, & Command Descriptions
3. Help in Choosing Databases for Your Topic
4. Customer Services (telephone assistance, training, seminars, etc.)
5. Product Descriptions

Connections:

6. DIALOG(R) Document Delivery
7. Data Star(R)

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/H = Help /L = Logoff /NOMENU = Command Mode

Enter an option number to view information or to connect to an online service. Enter a BEGIN command plus a file number to search a database (e.g., B1 for ERIC).

? b ftxtcor nftxtcor

```
>>>          77 does not exist
>>>          233 does not exist
>>>2 of the specified files are not available
    06mar06 11:15:39 User242899 Session D496.1
      $0.00      0.223 DialUnits FileHomeBase
$0.00 Estimated cost FileHomeBase
$0.05 TELNET
$0.05 Estimated cost this search
$0.05 Estimated total session cost    0.223 DialUnits
```

SYSTEM:OS - DIALOG OneSearch

```
File 15:ABI/Inform(R) 1971-2006/Mar 04
  (c) 2006 ProQuest Info&Learning
File 9:Business & Industry(R) Jul/1994-2006/Feb 28
  (c) 2006 The Gale Group
File 810:Business Wire 1986-1999/Feb 28
  (c) 1999 Business Wire
File 275:Gale Group Computer DB(TM) 1983-2006/Mar 03
  (c) 2006 The Gale Group
File 476:Financial Times Fulltext 1982-2006/Mar 07
```

(c) 2006 Financial Times Ltd
File 610:Business Wire 1999-2006/Mar 06
(c) 2006 Business Wire.

***File 610: File 610 now contains data from 3/99 forward.**
Archive data (1986-2/99) is available in File 810.
File 624:McGraw-Hill Publications 1985-2006/Mar 03
(c) 2006 McGraw-Hill Co. Inc

***File 624: Homeland Security & Defense and 9 Platt energy journals added**
Please see HELP NEWS624 for more
File 636:Gale Group Newsletter DB(TM) 1987-2006/Mar 03
(c) 2006 The Gale Group
File 621:Gale Group New Prod.Annou.(R) 1985-2006/Mar 03
(c) 2006 The Gale Group
File 613:PR Newswire 1999-2006/Mar 06
(c) 2006 PR Newswire Association Inc

***File 613: File 613 now contains data from 5/99 forward.**
Archive data (1987-4/99) is available in File 813.
File 813:PR Newswire 1987-1999/Apr 30
(c) 1999 PR Newswire Association Inc
File 16:Gale Group PROMT(R) 1990-2006/Mar 06
(c) 2006 The Gale Group
File 160:Gale Group PROMT(R) 1972-1989
(c) 1999 The Gale Group
File 634:San Jose Mercury Jun 1985-2006/Mar 04
(c) 2006 San Jose Mercury News
File 148:Gale Group Trade & Industry DB 1976-2006/Mar 03
(c)2006 The Gale Group
File 20:Dialog Global Reporter 1997-2006/Mar 06
(c) 2006 Dialog
File 35:Dissertation Abs Online 1861-2006/Feb
(c) 2006 ProQuest Info&Learning
File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13
(c) 2002 The Gale Group

***File 583: This file is no longer updating as of 12-13-2002.**
File 65:Inside Conferences 1993-2006/Mar 03
(c) 2006 BLDSC all rts. reserv.
File 2:INSPEC 1898-2006/Feb W4
(c) 2006 Institution of Electrical Engineers
File 474:New York Times Abs 1969-2006/Mar 05
(c) 2006 The New York Times
File 475:Wall Street Journal Abs 1973-2006/Mar 03
(c) 2006 The New York Times
File 99:Wilson Appl. Sci & Tech Abs 1983-2006/Feb
(c) 2006 The HW Wilson Co.
File 348:EUROPEAN PATENTS 1978-2006/Feb W04
(c) 2006 European Patent Office

***File 348: For important information about IPCR/8 and forthcoming changes to the IC= index, see HELP NEWSIPCR.**
File 349:PCT FULLTEXT 1979-2006/UB=20060302,UT=20060223
(c) 2006 WIPO/Univentio

***File 349: For important information about IPCR/8 and forthcoming changes to the IC= index, see HELP NEWSIPCR.**
File 347:JAPIO Nov 1976-2005/Nov(Updated 060302)
(c) 2006 JPO & JAPIO

Set	Items	Description
?	s	(auction or trading or sales) (20n) (network or internet or online) (20n) (manag???? or administra????)

```

Processing
Processing
Processed 10 of 26 files ...
Processing
Processing
Processing
Processing
Processed 20 of 26 files ...
Completed processing all files
      673567 AUCTION
      4131858 TRADING
    15344043 SALES
      9815103 NETWORK
      8833264 INTERNET
      5411544 ONLINE
    16593881 MANAG????
    11621701 ADMINISTRA????
    S1 268348 (AUCTION OR TRADING OR SALES) (20N) (NETWORK OR INTERNET
              OR ONLINE) (20N) (MANAG???? OR ADMINISTRA????)
? s s1 (s) (message? ? or note? ?)
Processing
Processed 20 of 26 files ...
Completed processing all files
      268348 S1
      2745074 MESSAGE? ?
      9957577 NOTE? ?
    S2 8916 S1 (S) (MESSAGE? ? OR NOTE? ?)
? s s2 and ((auction or trading) (10n) (house or facility))
Processing
Processed 10 of 26 files ...
Completed processing all files
      8916 S2
      673567 AUCTION
      4131858 TRADING
      5878153 HOUSE
      3588112 FACILITY
      85825 (AUCTION OR TRADING) (10N) (HOUSE OR FACILITY)
    S3 125 S2 AND ((AUCTION OR TRADING) (10N) (HOUSE OR FACILITY))
? rd s3

>>>Duplicate detection is not supported for File 348.

>>>Duplicate detection is not supported for File 349.

>>>Duplicate detection is not supported for File 347.

>>>Records from unsupported files will be retained in the RD set.
      S4 87 RD S3 (unique items)
? s s4 and py<2000
Processing
Processed 10 of 26 files ...
Processing
Processed 20 of 26 files ...
Completed processing all files
      87 S4
    63331902 PY<2000
      S5 34 S4 AND PY<2000
? t s5/3,k/1-34

```

5/3,K/1 (Item 1 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
(c) 2006 ProQuest Info&Learning. All rts. reserv.

01676087 03-27077

NetWare tackles Internet security

DiDio, Laura
Computerworld v32n31 PP: 45-46 Aug 3, 1998
ISSN: 0010-4841 JRNL CODE: COW
WORD COUNT: 558

...TEXT: integrated with Secure Sockets Layer Version 3 encryption technology to enable businesses to issue and **manage** secure, verified messages, also known as "certificates," said Michael Simpson, Novell's director of marketing. The PKIS facility will obviate the need for **network administrators** to **manage** a separate database of digital certificates, he added.

VITAL COMMUNICATIONS

Jag Jagtiani, vice president of...

...s, Inc. in New York, said the NetWare 5.0 PKIS provides functionality the international **auction house** needs to support secure communications. Sotheby's plans an electroniccommerce application within the next two...

5/3,K/2 (Item 2 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
(c) 2006 ProQuest Info&Learning. All rts. reserv.

01418747 00-69734

The search for the 'killer app'

Lux, Hal
Institutional Investor v31n4 PP: 91-102 Apr 1997
ISSN: 0020-3580 JRNL CODE: IL
WORD COUNT: 7461

...TEXT: it-yourself \$9.99 commissions available on the Net. How could a full-service brokerage **house** like Merrill offer on-line **trading** without disturbing some aspects of the broker-client relationship? "The customer starts to say, 'What...has gotten away with not doing it," says Alex Goor, the 25-year-old senior **manager** for on-line brokerage Datek **Online** .

So far full-service firms say that only a tiny percentage of their customers are asking for **trading** services, but discounters are skeptical. "I find that hard to believe," says Quick & Reilly president...

5/3,K/3 (Item 3 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
(c) 2006 ProQuest Info&Learning. All rts. reserv.

00901327 95-50719

Security issues in the EDI environment

Banerjee, Snehamay; Golhar, Damodar Y
International Journal of Operations & Production Management v14n4 PP:
97-108 1994

ISSN: 0144-3577 JRNL CODE: IJO
WORD COUNT: 3997

...TEXT: softwares are available. The software can be either purchased off the shelf or developed in- **house** . For data transmission, **trading** partners can use either a dedicated network or a value added network (VAN). so, there...especially in a VAN environment). The job of the record keeper is to record the **message** 's origin, destination and contents and then ensure the delivery of the correct **message** to the intended recipient. To retain the authentication of a **message** the sender must put a copy of the **message** outside the sender's control. The role and choice of such record keeper depends on the type of EDI agreement that exists between the **trading** partners. In most cases it is expected that the **network** carrying the EDI **messages** will act as a record keeper. A commercial agreement also requires a proof of delivery of the unaltered **message** to the intended recipient. The **trading** partners must agree on a procedure for collecting and maintaining such proofs.

OPERATOR ERRORS

Another major problem of EDI is that human beings (e.g. programmers, computer operators, **managers**) have a direct interaction with computers.

5/3,K/4 (Item 4 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
(c) 2006 ProQuest Info&Learning. All rts. reserv.

00727505 93-76726
The Automated World Explorer
Schwartzman, Sharon
Wall Street & Technology v9n10 PP: 39-50 Jun 1992
ISSN: 1060-989X JRNL CODE: WSC
WORD COUNT: 2588

...TEXT: SunGard Financial Systems handled shareholder recordkeeping, and portfolio management was administered by Shaw Data. The **trading** and research systems were developed in- **house** but were only available on a dial-up basis around the world, which wasn't...

...me?" asks Leonard. "It's made life easy." With CC Mall, he can send a **message** with a Lotus spreadsheet off to Hong Kong, Edinburgh or Nassau. He can access multiple external information providers and the firm's internal systems, comprised of the Portfolio **Manager** 's Database (PMDB), the Research Database (RDB) and the **Trading** Database (TDB)--all on his desktop, all hanging off the **network** . "I can concentrate on the value-added services of doing back testing, screening and looking...

5/3,K/5 (Item 1 from file: 9)
DIALOG(R)File 9:Business & Industry(R)
(c) 2006 The Gale Group. All rts. reserv.

01909527 Supplier Number: 25373378 (USE FORMAT 7 OR 9 FOR FULLTEXT)
On-Line Vending Ready For Lift-Off In Japan.
(Fuji Bank, Sakura Bank and Sanwa Bank developing Internet mutual fund services)
Global Fund News, v III, n 15, p 1+

July 26, 1999

DOCUMENT TYPE: Newsletter ISSN: 1529-5710 (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 493

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

...global players such as Charles Schwab International and Fidelity Investments are looking to introduce net **trading**, saluting the growing sophistication of the mutual fund mart. An accelerating number of net users and investors' understanding of mutual funds along with the medium's cost-effectiveness makes **Internet** an attractive **sales** avenue in Japan, **noted** Junnosake Yamazaki, deputy general **manager** of asset management division at Fuji in Tokyo.

...

...uses to push investment trusts.

U.S. titan Fidelity hopes to replicate its global Internet **trading facility** --Fidelity WebXpress--in Japan this year to beef up its direct sales efforts (GFN, 22...

5/3,K/6 (Item 2 from file: 9)

DIALOG(R)File 9:Business & Industry(R)

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01891191 Supplier Number: 25355516 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Day Trading Firm Beefs Up Advertising

(Day trading firm Trend Trader advertises in financial magazines and on financial Internet sites in effort to add 500 customers in next six months)

Financial NetNews, v IV, n 27, p 3

July 05, 1999

DOCUMENT TYPE: Newsletter (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 232

TEXT:

Trend Trader, a Scottsdale, Ariz.-based day **trading** firm, has stepped up advertisements in both print publications and on the **Internet** in an effort to gain new accounts. The day **trading** firm wants to increase its number of accounts by 500 in the next six months, according to Mark Seleznov, **managing** partner. Seleznov declined to provide the number of clients the firm currently has but did **note** it ranges into the hundreds. The firm is also increasing advertisements to highlight the expansion of its **trading** room and training **facility**, he added.

...

5/3,K/7 (Item 3 from file: 9)

DIALOG(R)File 9:Business & Industry(R)

(c) 2006 The Gale Group. All rts. reserv.

01886200 Supplier Number: 24666096 (USE FORMAT 7 OR 9 FOR FULLTEXT)

New lease of life for Internet trader

(Celestial Asia Securities Holdings (CASH) has edged its market share up to 0.68% from 0.3% and is preparing a HK\$100 mil convertible note issuance)

Asiamoney, v X, n 5, p 10

June 1999

DOCUMENT TYPE: Journal ISSN: 0958-9309 (Hong Kong)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 172

TEXT:

Things are looking up Hong Kong for Internet **trading house** Celestial Asia Securities Holdings. CASH, which was at the brink of bankruptcy early last year...

...has increased from 0.3% to 0.68%. The company is now issuing a convertible **note** for HK\$100 million (\$12.8 million) following the recent release of two new Internet products: InTrade (**Internet trading**) and SmarTrade (mobile phone **trading**). The convertible carries an annual 4% coupon and will be 60% subscribed by Bankee Kwan, chairman of CASH and group **managing** director of CCT, and 40% subscribed by Ken Khoo, **managing** director of CASH. "The structure after conversion shows the management's strong belief in the...

5/3,K/8 (Item 4 from file: 9)

DIALOG(R)File 9:Business & Industry(R)

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01805927 Supplier Number: 24576721 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Tracker: Sotheby's Holdings Inc

(Over 2,000 art dealers in the US and Europe have agreed to become Charter Members of Sotheby's Holdings Inc's Sotheby's Internet Associates, the company will invest \$25+ mil in this project)

New Media Investor, n 40, p 17+

March 10, 1999

DOCUMENT TYPE: Newsletter ISSN: 1462-8856 (United Kingdom)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 484

TEXT:

...of expenditure were non-recurring charges, up by 29.9% to \$15.2m, and general **administrative** costs which grew by 21.6% to 108.2m. In January 1999 the company announced the formation of sothebys.com, an **internet**-based **auction** business which the company believe will broaden its market substantially over time. Sothebys.com will commence **internet** auctions from the summer of 1999, and will be a marketplace for property in a...

...and reduce costs. In February 1999 Sotheby's issued \$100m of 6.875% senior unsecured **notes** due to mature in 2009. Net proceeds from the debt issue will be used for...

...financing of capital expenditures in connection with the expansion of the company's New York **auction facility** and the launch of sotheby.com. Future results will be influenced by the strength of...

5/3,K/9 (Item 5 from file: 9)

DIALOG(R)File 9:Business & Industry(R)

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01090829 Supplier Number: 23657668 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Better Foreign Exchange Wins Favor With Corporates
(Corporate foreign exchange volumes reached \$1.26 tril/day in 1995 vs \$880 bil/day in 1992 and \$620 bil/day in 1989)
Bank Technology News, v 9, n 10, p 1+
October 1996
DOCUMENT TYPE: Journal ISSN: 1060-3506 (United States)
LANGUAGE: English RECORD TYPE: Fulltext
WORD COUNT: 2185

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

...Chase Trader automates clients' foreign exchange payments, hooking end users into Chase's foreign exchange **trading** floor in real time. The **facility** provides real-time, online confirmations of foreign exchange deals between the end user and Chase...

...to access the SWIFT system for confirmation of foreign exchange trades," says Bob Vergilio, regional **manager** of financial **trading** at SWIFT. He adds, "In the past, corporates were not allowed to join SWIFT because SWIFT is a bank-owned, private **network**, designed to facilitate the exchange of **messages** between banks. Over the years, it's expanded outside of banks."

Corporations do not contract...

5/3,K/10 (Item 1 from file: 275)
DIALOG(R)File 275:Gale Group Computer DB(TM)
(c) 2006 The Gale Group. All rts. reserv.

01582850 SUPPLIER NUMBER: 13357750 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Trading department support systems. (1993 edition) (Buyers Guide)
Wall Street & Technology, v10, n5, p53(11)
Jan, 1993
DOCUMENT TYPE: Buyers Guide ISSN: 1060-989X LANGUAGE: ENGLISH
RECORD TYPE: FULLTEXT; ABSTRACT
WORD COUNT: 12925 LINE COUNT: 01160

... facilities access and publishing toolkits and others. Integrated with the Video System.

Micrognosis System Management **Facility**, Phase II

In consolidating all pieces of the **trading** system infrastructure, system management becomes the most critical function. Micrognosis understands this need from its experience in managing many of the world's largest **trading** floor installations. The new Micrognosis System management **Facility** (SMF) revolutionizes permissioning, control and reporting of end-user information utilization. With SMF, traders can...UNIX

A full function investment accounting and decision support system for traders, accountants, and treasury **managers**. All instruments.

Thomson Financial Services, 11 Farnsworth St., Boston, MA 02210;
617/345-2000, Fax: 617/350-5011 See Advertisement Page 100

AutEx

An **online** source of equity block **trading** information, allowing brokers and institutions to instantly exchange **messages** that indicate interest in buying or selling blocks of stock as well as advertise block...

...manager ensures seamless data integration and customization.

Zia Corp., Morris Plains, NJ
Zia Back Office, **Trading** & Sales System
Totally integrated in- **house** system that automates sales, **trading**
and back office for fixed income dealers.
Turret Systems
BT North America Inc., 100 Park...

19930100

5/3,K/11 (Item 2 from file: 275)
DIALOG(R)File 275:Gale Group Computer DB(TM)
(c) 2006 The Gale Group. All rts. reserv.

01527401 SUPPLIER NUMBER: 12315726 (USE FORMAT 7 OR 9 FOR FULL TEXT)
**The automated world explorer. (Templeton, Galbraith & Hansberger Ltd.'s
international securities network)**
Schwartzman, Sharon
Wall Street & Technology, v9, n10, p39(6)
June, 1992
ISSN: 1060-989X LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT; ABSTRACT
WORD COUNT: 2798 LINE COUNT: 00216

... SunGard Financial Systems handled shareholder recordkeeping, and
portfolio management was administered by Shaw Data. The **trading** and
research systems were developed in- **house** but were only available on a
dial-up basis around the world, which wasn't...

...me?" asks Leonard. "It's made life easy." With CC Mail, he can send a
message with a Lotus spreadsheet off to Hong Kong, Edinburgh or Nassau. He
can access multiple external information providers and the firm's internal
systems, comprised of the Portfolio **Manager** 's Database (PMDB), the
Research Database (RDB) and the **Trading** Database (TDB)--all on his
desktop, all hanging off the **network** . "I can concentrate on the
value-added services of doing back testing, screening and looking...

19920600

5/3,K/12 (Item 3 from file: 275)
DIALOG(R)File 275:Gale Group Computer DB(TM)
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01369247 SUPPLIER NUMBER: 08155406 (USE FORMAT 7 OR 9 FOR FULL TEXT)
**London firm trades up to system made in Denmark. (Danware Data AG's Dealing
Manager financial software)**
Essinger, James
Wall Street Computer Review, v7, n4, p14(4)
Jan, 1990
ISSN: 0738-4343 LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT; ABSTRACT
WORD COUNT: 1884 LINE COUNT: 00148

...ABSTRACT: the investment banking portion of Barclay's Bank, is
implementing a fault-tolerant microcomputer-based **trading network** built
around Danware Data AG's Dealing **Manager** financial software. The system
will be used in BZW's equities and fixed-income **trading** rooms and is
expected to decrease the operating costs of **trading** desks. Dealing
Manager was initially designed to provide complete fault-tolerance more

inexpensively than that offered by existing technology. Dealing **Manager** 's features include: software duplications of the system architecture, real-time two-way **message** linking, a multiserver that allows real-time trade information to enter the system from the...
... of Danware, had worked with his colleagues to design Dealing Manager, which offered an automated **trading facility** and position-keeping function for equities **trading** and which ran on 1989, Danware had managed to position itself in Denmark as the...trading between counterparties.

Limited Plans

BZW initially plans to use the system purely for in- **house** position-keeping and as an internal automated **trading** system. BZW says that it currently has no plans to link the system to external...

19900100

5/3,K/13 (Item 4 from file: 275)

DIALOG(R)File 275:Gale Group Computer DB(TM)
(c) 2006 The Gale Group. All rts. reserv.

01250778 SUPPLIER NUMBER: 06291082 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Launching technology into global markets. (contains related article on the effects of the deregulation of the London stock market)

Landis, Kenneth M.

Computers in Banking, v5, n1, p25(5)

Jan, 1988

ISSN: 0742-6496

LANGUAGE: ENGLISH

RECORD TYPE: FULLTEXT; ABSTRACT

WORD COUNT: 2855 LINE COUNT: 00232

... Kong, and Tokyo, with subsidiary operations in cities such as Sydney, Singapore, Paris, and Frankfurt.

" **Managing** and providing the processing apparatus for a worldwide **network** of offices in a globalized market is no easy task," says John Reynolds, vice president for securities processing services at Manufacturers Hanover Trust in New York. "Many institutions have maintained **trading** presences in these cities since the middle to late 1970s," Reynolds **notes** . "However, it has only been in the last two years that these markets have become...

...systems fold, or become uneconomic.

Another reason that the major banks are developing systems in- **house** is to integrate their risk management directly into their **trading** and accounting systems. Stand-alone or service bureau products do not integrate with an institution...this year for \$30 million dollars of VAX equipment to support the bank's in- **house** developed **trading** workstation Trestel. Either way, centralized or decentralized, the organization faces the same obstacle--responding to...

19880100

5/3,K/14 (Item 1 from file: 610)

DIALOG(R)File 610:Business Wire

(c) 2006 Business Wire. All rts.. reserv.

00023328 1999090B1161 (USE FORMAT 7 FOR FULLTEXT)

Survey Indicates Authentication Concerns Growing as Key Barrier to

E-Commerce

Business Wire

Wednesday, March 31, 1999 11:20 EDT

JOURNAL CODE: BW LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT

DOCUMENT TYPE: NEWSWIRE

WORD COUNT: 842

1999

...the level of trust in electronic transactions.

Authentication technologies emerging in the marketplace today include **messages** secured by software algorithms employing public and private keys, biometrics (such as signing a document...

...writing on a pad connected to your computer), and pin numbers, which the Social Security **Administration** may use in some cases. The Internet **auction house** EBay is starting to use a basic form of authentication where users of the service...

5/3,K/15 (Item 1 from file: 636)

DIALOG(R)File 636:Gale Group Newsletter DB(TM)

(c) 2006 The Gale Group. All rts. reserv.

04028802 Supplier Number: 53342629 (USE FORMAT 7 FOR FULLTEXT)

Deregulation to spur growth of software industry.

Gas Daily, pNA

Oct 14, 1998

Language: English Record Type: Fulltext

Document Type: Newsletter; Trade

Word Count: 480

(USE FORMAT 7 FOR FULLTEXT)

TEXT:

...deregulation will create a rapidly expanding market for his company's energy software and electronic **trading** products. "You typically find most utilities developing their systems in- **house** ," said Paul Bourke, Altra's president and CEO. "As deregulation happens and as transactions become...

...s a lot of parallels to the banking industry," he said. Altra markets software for **managing** wholesale gas and electricity transactions. Its Streamline affiliate and the QuickTrade system are the two major **online** systems for **trading** gas and electricity. "The company has revenues in mid-\$30 millions. The company's venture...

...among industry players who have become comfortable with the traditional ways of doing business, Bourke **noted** . "The competition is the relationship of the trader and the brokers and with the telephone...

...need to better package the economic and competitive values of electronic trading and sell that **message** into the corner office," he said. "When the markets are volatile electronic trading affords opportunities...

19981014

5/3,K/16 (Item 2 from file: 636)

DIALOG(R)File 636:Gale Group Newsletter DB(TM)
(c) 2006 The Gale Group. All rts. reserv.

03296818 Supplier Number: 46768976 (USE FORMAT 7 FOR FULLTEXT)

DAVIDGE AND SIAC ALIGN.

Electronic Messaging News, v8, n20, pN/A

Oct 2, 1996

Language: English Record Type: Fulltext

Document Type: Newsletter; Trade

Word Count: 98

(USE FORMAT 7 FOR FULLTEXT)

TEXT:

...Automation Corp. (SIAC) to provide local facilities management services. Davidge credited with inventing open-systems **message** routing for the financial industry, will consolidate two of its data centers at SIAC's facility in Brooklyn, New York. "DavNet, an open architecture **network** built for the routing of **trading** orders and execution information, and our **facility managed** services are the critical link in many of our clients' connectivity applications," says Ira Rubenstein...

19961002

5/3,K/17 (Item 3 from file: 636)

DIALOG(R)File 636:Gale Group Newsletter DB(TM)

(c) 2006 The Gale Group. All rts. reserv.

01273806 Supplier Number: 41393692 (USE FORMAT 7 FOR FULLTEXT)

MERRIN/QUOTRON, THOMSON SYSTEMS AIM TO CUT ERRORS IN BACK OFFICE

Trading Systems Technology, v3, n8, pN/A

June 18, 1990

Language: English Record Type: Fulltext

Document Type: Newsletter; Trade

Word Count: 1188

... is resident on the PC.

E-Trade uses the same order-entry panel as the **Trading** System to capture order details. After placing an order with his broker over the phone, a money **manager** enters the details, including security, price and amount, and targeted broker, into the order-entry panel on the **Trading** System. He then hits a key to send the **message** to his broker.

Money **managers** ' PCs can interface with the Quotron **network** via a Quotron Q1000 server. Merrin Financial says it will develop an interface to the **network** for users without Q1000s. Order details are sent via the Quotron network to Quotron's **message** switch, located in Colorado, which is operated by Quotron's Securities Industry Software Corp. subsidiary. From the switch, the **message** is directed via leased line to the targeted broker, who can access it through the **Trading** System or equivalent in-house system.

At the broker PC, an incoming message creates an alert on the screen. When...

...system using an installed order-routing system. He then sends a message back across the **network** to the money manager, confirming his receipt of the order.

Allocating the Stock

Once he receives the confirmation, the money **manager** can decide to allocate the stock he has acquired between the various funds he **manages** .

He does this onscreen by filling in a ticket on the **Trading** System. This is then sent to the broker, who allocates the shares according to the **manager** 's instructions. The system alerts the broker to any discrepancy between the number of shares allocated and the number purchased by the money **manager** . The broker can then **message** the money manager about the problem using a free-form conversation field on the E...

19900618

5/3,K/18 (Item 1 from file: 621)

DIALOG(R)File 621:Gale Group New Prod.Annou.(R)

(c) 2006 The Gale Group. All rts. reserv.

02257553 Supplier Number: 58183868 (USE FORMAT 7 FOR FULLTEXT)
Barclays Capital Launches New E-Commerce Initiatives In Futures and Convertibles.

PR Newswire, p1141

Dec 13, 1999

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 545

... terms for over 3,000 issues, a state-of-the-art CB model interface, chat **facility** , and on-line **trading** capability. Phase two is due to start operating early next year.

David Kilgore, Director and...

...optimal portfolio."

Barclays Capital was recently the sole lead for 230 million euros Principal Guaranteed **Notes** issued by Barclays Bank exchangeable into shares of Banca Intesa Spa, and co- **manager** for the landmark 2 billion euros Mannesmann AG convertible bond transaction.

Note

In October Barclays Capital launched CP Webtrade, the first stage of its **Internet** -based **trading** system for Euro Commercial Paper, European Bank Certificates of Deposit and European Government Treasury Bills...

19991213

5/3,K/19 (Item 2 from file: 621)

DIALOG(R)File 621:Gale Group New Prod.Annou.(R)

(c) 2006 The Gale Group. All rts. reserv.

01814285 Supplier Number: 53938622 (USE FORMAT 7 FOR FULLTEXT)
uBid Expands Board.

Business Wire, p0245

Feb 23, 1999

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 500

... impact printer supplies and other essential office consumables.
About uBid Inc.

uBid is an online **auction house** that offers consumers and small-to medium-sized businesses excess, refurbished, close-out and limited...

...More information may be obtained on the company's Web site at

www.ubid.com.

NOTE TO EDITORS: This news release contains "forward-looking statements" within the meaning of Section 27A...

...such forward-looking statements include the company's limited operating history and its ability to **manage** growth; the company's ability to maintain relationships with vendors and other on-line companies; competition from other on-line **auction** providers; and changes in **internet** technology and consumer demand. Additional factors that could cause actual results to differ are discussed...

19990223

5/3,K/20 (Item 3 from file: 621)

DIALOG(R)File 621:Gale Group New Prod.Annou.(R)

(c) 2006 The Gale Group. All rts. reserv.

01792511 Supplier Number: 53604782 (USE FORMAT 7 FOR FULLTEXT)

Online Securities to Offer Leading Technology To Secure Internet Brokerage Transactions.

PR Newswire, p7668

Jan 20, 1999

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 282

... Guest Speakers at the conference on Securing Brokerage Transactions over the Internet (Sicheres Wertpapiergesschaeft im **Internet**) sponsored by the Institute for International Research which was held January 18th through 20th in...

...sector is based on our first-hand knowledge of the increasing demand for cross-border **trading** and the limited possibilities available now to trade professionally via a PC. Providing a high quality **trading facility** opens an exceptional business opportunity for us," **noted** Stephan Henneken, **Managing** Director of **Online** Securities Service GmbH in Frankfurt. His team will assume the marketing and client support role...

19990120

5/3,K/21 (Item 4 from file: 621)

DIALOG(R)File 621:Gale Group New Prod.Annou.(R)

(c) 2006 The Gale Group. All rts. reserv.

01785237 Supplier Number: 53538801 (USE FORMAT 7 FOR FULLTEXT)

uBid Extends Commitment to Quality Customer Service; Site Features Better Business Bureau Online Seal.

Business Wire, p0124

Jan 8, 1999

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 402

(USE FORMAT 7 FOR FULLTEXT)

TEXT:

ELK GROVE, Ill.--(BUSINESS WIRE)--Jan. 8, 1999--uBid Inc. (Nasdaq:UBID), a leading online **auction house** , announced that its Web site now includes the Better Business Bureau's online seal, which...

... the highest quality auction experience on the Internet."

About uBid Inc.

uBid is an online **auction house** that offers consumers and small-to medium-sized businesses excess, refurbished, close-out and limited...

...For more information, please see the company's Web site at <http://www.ubid.com>.

NOTE TO EDITORS: This press release contains "forward-looking statements" within the meaning of Section 27A...

...such forward-looking statements include the company's limited operating history and its ability to **manage** growth; the company's ability to maintain relationships with vendors and other **online** companies; competition from other **online auction** providers; and changes in **Internet** technology and consumer demand. Additional factors that could cause actual results to differ are discussed...

19990108

5/3,K/22 (Item 1 from file: 634)

DIALOG(R) File 634:San Jose Mercury

(c) 2006 San Jose Mercury News. All rts. reserv.

10347027

ONLINE SHOPPING GOES UPSCALE JEWELRY, WINE, OTHER LUXURY GOODS OFFERED TO MEET DEMANDS OF AFFLUENT BUYERS

San Jose Mercury News (SJ) - Monday, December 13, 1999

By: JOELLE TESSLER, MERCURY NEWS STAFF WRITER

Edition: Morning Final Section: Business Monday Page: 1E

Word Count: 1,333

1999

... its Great Collections site, which grew out of the company's acquisition of San Francisco **auction house** Butterfield & Butterfield. Among the items recently up for bidding on Great Collections: a 1953 Mickey...

...Internet shoppers would begin to buy engagement rings and Rolex watches.

In many ways, Vadon **noted**, the Web is well suited for this business, since customers who buy expensive items tend to want a lot of product information and the **Internet** offers a good way to provide it. At the same time, buying luxury goods **online** can remove much of the pressure from a **sales** process that is often commission-based in the physical world.

But the **Internet** also presents hurdles. Shoppers, of course, can't try on a watch, smell a perfume or sample a new shade of lipstick. As Scott Stirton, a **manager** in the electronic commerce practice at Boston Consulting Group put it, "Are you losing the..."

5/3,K/23 (Item 1 from file: 148)

DIALOG(R) File 148:Gale Group Trade & Industry DB

(c)2006 The Gale Group. All rts. reserv.

10363797 SUPPLIER NUMBER: 20987291 (USE FORMAT 7 OR 9 FOR FULL TEXT)

NetWare tackles Internet security. (Novell's NetWare 5.0 network operating system) (Product Development)

DiDio, Laura

Computerworld, v32, n31, p45(1)

August 3, 1998

ISSN: 0010-4841

LANGUAGE: English

RECORD TYPE: Fulltext

WORD COUNT: 650

LINE COUNT: 00058

... Michael Simpson, Novell's director of marketing. The PKIS facility will obviate the need for **network** administrators to **manage** a separate database of digital certificates, he added.

VITAL COMMUNICATIONS

Jag Jagtiani, vice president of...

...s, Inc. in New York, said the NetWare 5.0 PKIS provides functionality the international **auction house** needs to support secure communications.

Sotheby's plans an electronic-commerce application within the next...

19980803

5/3,K/24 (Item 2 from file: 148)

DIALOG(R)File 148:Gale Group Trade & Industry DB

(c)2006 The Gale Group. All rts. reserv.

07905412 SUPPLIER NUMBER: 16450047 (USE FORMAT 7 OR 9 FOR FULL TEXT)

PCS frequency auction goes interactive on a secure net. (personal communications services) (the FCC's electronic bidding system)

Olsen, Florence

Government Computer News, v14, n2, p1(2)

Jan 23, 1995

ISSN: 0738-4300

LANGUAGE: ENGLISH

RECORD TYPE: FULLTEXT; ABSTRACT

WORD COUNT: 701

LINE COUNT: 00061

ABSTRACT: The Federal Communications Commission (FCC) is using a secure electronic bidding system to **auction** off broadband radio frequencies. The FCC Bidding System allows bidders to place offers via remote access or by telephone to a value-added **network** (VAN) **managed** by Business Information Networks. The system is LAN-based, designed to do frequent backups, highly...

...to return messages. Some bids are also done on-site at the FCC's Washington **auction facility** using 66MHz 486DX2 PCs located in private booths. Computech Inc assisted FCC in building the...

19950123

5/3,K/25 (Item 3 from file: 148)

DIALOG(R)File 148:Gale Group Trade & Industry DB

(c)2006 The Gale Group. All rts. reserv.

02025421 SUPPLIER NUMBER: 03118859 (USE FORMAT 7 OR 9 FOR FULL TEXT)

Careers: commodities brokers. (column)

Gorov, Lynda

Working Woman, v9, p50(3)

Feb, 1984

CODEN: WOWOD

DOCUMENT TYPE: column

ISSN: 0145-5761

LANGUAGE: ENGLISH

RECORD TYPE: FULLTEXT

WORD COUNT: 2204

LINE COUNT: 00170

... racking," says Pietkiewicz, who started her commodities career as a sales assistant at a brokerage **house** . She began **trading** independently about two years ago. The stress and hectic pace, though, aren't the main...

...surrounding the pits, where orders flow in from brokerage-house customers. Phone clerks, who take **messages** from brokerage offices, earn only about \$15,000 although the firms depend on their speed...

19840200

5/3,K/26 (Item 4 from file: 148)

DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2006 The Gale Group. All rts. reserv.

01756294 SUPPLIER NUMBER: 02574356 (USE FORMAT 7 OR 9 FOR FULL TEXT)

Putting more wind in Yankee traders' sales.

Gatty, Bob

Nation's Business, v71, p62(3)

Jan, 1983

CODEN: NBUSA ISSN: 0028-047X LANGUAGE: ENGLISH RECORD TYPE:
FULLTEXT

WORD COUNT: 1839 LINE COUNT: 00148

... smaller firms enter the export arena, numerous large companies have already established their own in- **house** export **trading** companies and taken on the product lines of other manufacturers. The new law provides assurance...

...according to its president, David H. Hill. Although the firm prefers selling for cash, Hill **notes** that many countries are short of hard currency.

Even A. Werling, vice president for finance...

19830100

5/3,K/27 (Item 1 from file: 20)

DIALOG(R)File 20:Dialog Global Reporter
(c) 2006 Dialog. All rts. reserv.

08701383 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Web Retailers Aim to Lure Well-Off Buyers with Array of Luxury Goods

Joelle Tessler

KRTBN KNIGHT-RIDDER TRIBUNE BUSINESS NEWS (SAN JOSE MERCURY NEWS - CALIFORNIA)

December 13, 1999

JOURNAL CODE: KSJM LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 1263

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... its Great Collections site, which grew out of the company's acquisition of San Francisco **auction house** Butterfield & Butterfield. Among the items recently up for bidding on Great Collections: a 1953 Mickey ...

... customers who buy expensive items tend to want a lot of product

information and the **Internet** offers a good way to provide it. At the same time, buying luxury goods **online** can remove much of the pressure from a **sales** process that is often commission-based in the physical world.

But the **Internet** also presents hurdles. Shoppers, of course, can't try on a watch, smell a perfume or sample a new shade of lipstick. As Scott Stirton, a **manager** in the electronic commerce practice at Boston Consulting Group put it, "Are you losing the...

19991213

5/3,K/28 (Item 2 from file: 20)

DIALOG(R)File 20:Dialog Global Reporter
(c) 2006 Dialog. All rts. reserv.

07724424 (USE FORMAT 7 OR 9 FOR FULLTEXT)

American with a vision of customer empowerment; IT plus; Profile; John McKean

CLIVE MATHIESON
TIMES

October 13, 1999

JOURNAL CODE: FTMS LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 904

(USE FORMAT 7 OR 9 FOR FULLTEXT)

He contacted e-bay, the online **auction house**, and put up a handful of US one dollar bills for sale, not quite sure...

... software, has not surprisingly been preaching this message for some time. The company says the **Internet** provides the perfect framework for good information management because it draws together disparate systems across marketing, **sales** and service.

Data from different departments can be stored in central repositories and accessed by simple Web browsers. The **Internet** can also set common standards across internal information networks, allowing companies to build and **manage** deep profiles of individuals and business customers.

Andrew Bailey, marketing director for products, services and...

19991013

5/3,K/29 (Item 3 from file: 20)

DIALOG(R)File 20:Dialog Global Reporter
(c) 2006 Dialog. All rts. reserv.

02884204

Lotus Extends Worldwide Teamware Leadership With New ISPs Set to Offer Domino Instant! Host Based Services

PR NEWSWIRE

September 22, 1998

JOURNAL CODE: WPRW LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 1399

... for automatic slide shows. -- Who's Where serves as an electronic in/out board and **message** board, allowing people to leave permanent and temporary contact information, phone numbers and e-mail...the first quarter of 1999: a Year 2000 Risk Management application from Earley & Associates;

an **auction facility** and survey application from EmpowerNet; a customer support and help desk application from GWI Software...

19980922

5/3,K/30 (Item 1 from file: 348)

DIALOG(R)File 348:EUROPEAN PATENTS

(c) 2006 European Patent Office. All rts. reserv.

00894877

METHOD AND SYSTEM FOR PROCESSING AND TRANSMITTING ELECTRONIC AUCTION INFORMATION

VERFAHREN UND SYSTEM ZUM VERARBEITEN UND UBERTRAGEN VON ELEKTRONISCHER AUKTIONSINFORMATION

PROCEDE ET SYSTEME DE TRAITEMENT ET DE TRANSMISSION D'INFORMATIONS POUR DES ENCHERES ELECTRONIQUES

PATENT ASSIGNEE:

Egghead.com, Inc., (2405321), 1953 Landings Drive, Mountain View, CA 94043, (US), (Proprietor designated states: all)

INVENTOR:

FISHER, Alan, S., 40859 Calido Place, Fremont, CA 94539-3633, (US)

KAPLAN, Samuel, Jerrold, 910 West Santa Inez Avenue, Hillsborough, CA 94010, (US)

LEGAL REPRESENTATIVE:

Harris, Ian Richard et al (72231), D. Young & Co., 21 New Fetter Lane, London EC4A 1DA, (GB)

PATENT (CC, No, Kind, Date): EP 900424 A1 990310 (Basic)

EP 900424 B1 011024

WO 9737315 971009

APPLICATION (CC, No, Date): EP 97916124 970319; WO 97US4535 970319

PRIORITY (CC, No, Date): US 623654 960329; US 623946 960329; US 624259 960329

DESIGNATED STATES: AT; BE; CH; DE; DK; ES; FI; FR; GB; GR; IE; IT; LI; LU; MC; NL; PT; SE

INTERNATIONAL PATENT CLASS (V7): G06F-017/60

NOTE:

No A-document published by EPO

LANGUAGE (Publication,Procedural,Application): English; English; English

FULLTEXT AVAILABILITY:

Available Text	Language	Update	Word Count
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CLAIMS B	(English)	200143	1832
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CLAIMS B	(German)	200143	1890
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CLAIMS B	(French)	200143	2397
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SPEC B	(English)	200143	7527
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Total word count - document A	0
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Total word count - document B	13646
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Total word count - documents A + B	13646
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...SPECIFICATION Auctions usually take the form of a physical gathering of bidders assembled together within an **auction house** . Auctions presenting more valuable, collectible merchandise, such as art, coins and antiques, are often preceded...

...a catalog of merchandise, circulated to interested parties in advance of the gathering at the **auction house** , where bidding by those physically present will take place. For auctions of more mundane items...update the World Wide Web pages with current high bid information.

Sales firms other than **auction** houses have also used the Internet's World Wide Web **facility** to post descriptions of their merchandise and to offer the merchandise for sale at a...to the bidder, preferably in the form of a well-formatted page posted across the **network** , itemizing the errors found in the bid. If the bid is valid, as found in...

...bid database 31.

FIG. 6 provides a detailed illustration of the procedures carried out by **auction manager** 26. **Auction manager** 26 is preferably a continuously running system that begins by getting the current time as...

5/3,K/31 (Item 2 from file: 348)
DIALOG(R)File 348:EUROPEAN PATENTS
(c) 2006 European Patent Office. All rts. reserv.

00647654

CREDIT MANAGEMENT FOR ELECTRONIC BROKERAGE SYSTEM
KREDITVERWALTUNG FUR EIN ELEKTRONISCHES MAKLERGEBUHRENSYSTEM.
GESTION DE CREDITS POUR SYSTEME DE COURTAGE ELECTRONIQUE
PATENT ASSIGNEE:

EBS DEALING RESOURCES, INC., (1684450), 1 Court Square, 11th Floor, Long Island City, NY 11120, (US), (applicant designated states: AT;BE;CH;DE;DK;ES;FR;GB;GR;IE;IT;LI;LU;MC;NL;PT;SE)

INVENTOR:

TOGHER, Michael 333 Rector Place, Apt. 10-K, New York City, NY 10280, (US)

DUNNE, Michael, F., 603 Monroe Street, Boonton, NJ 07005, (US)

HARTHEIMER, Richard, 29 Morain Road, Morris Plains, NJ 07950, (US)

LEGAL REPRESENTATIVE:

Abnett, Richard Charles et al (27531), REDDIE & GROSE 16 Theobalds Road, London WC1X 8PL, (GB)

PATENT (CC, No, Kind, Date): EP 625275 A1 941123 (Basic)
EP 625275 B1 970416
WO 9315467 930805

APPLICATION (CC, No, Date): EP 93904823 930202; WO 93US916 930202

PRIORITY (CC, No, Date): US 830408 920203

DESIGNATED STATES: AT; BE; CH; DE; DK; ES; FR; GB; GR; IE; IT; LI; LU; MC; NL; PT; SE

INTERNATIONAL PATENT CLASS (V7): G06F-017/60;

NOTE:

No A-document published by EPO

LANGUAGE (Publication,Procedural,Application): English; English; English

FULLTEXT AVAILABILITY:

Available Text	Language	Update	Word Count
CLAIMS B	(English)	EPAB97	1113
CLAIMS B	(German)	EPAB97	1072
CLAIMS B	(French)	EPAB97	1303
SPEC B	(English)	EPAB97	7432
Total word count - document A			0
Total word count - document B			10920
Total word count - documents A + B			10920

...SPECIFICATION related trading floors, in which case the Floor Profile could merely identify a common credit **facility** having a single credit limit for each **trading** floor or groups of trading floors. The Floor Administrator also selects a warning percentage which...

...CLAIMS associated with a respective one of said trading floors (MAN) and connected to the communication **network** , for generating electronic price quotation messages (QuoteSubmit) including bid and/or offer prices (46,48...

- ...26, 18,20) based on price quotation messages (QuoteSubmit, QuoteAvailable, MarketView) originating from other said **trading** floors and received over the communication **network** , credit limit **administration** processing means (MAN, ARB) connected to the communication **network** , for automatically determining whether a predetermined level of credit is currently respectively available (CreditUpdate) from each **trading** floor to each of the other **trading** floors, and dealable price distribution processing means (MD) connected to said communication **network** and responsive to said price quotation messages (QuoteAvailable) as well as to said credit limit **administration** processing means (MAN, ARB) for automatically transmitting dealable price **messages** (MarketView) to the terminals (WS Alb1, WS Alb2) of at least one particular **trading** floor (MAN Alb), said dealable price **messages** (MarketView) being derived only from price quotation **messages** (QuoteSubmit) from those **trading** floors (MAN Ala) for which said credit limit **administration** processing means (ARB A, MAN Ala, MAN Alb) has determined that said predetermined level of credit is currently available on a bilateral basis both from and to said particular **trading** floor (MAN Alb).
2. The **trading** system of claim 1, wherein said selected price information communicated by the terminal at said particular trading floor comprises dealable price information (24, 26) derived from said dealable price **messages** (MarketView).
 3. The trading system of claim 2, wherein said dealable price information (24,26...

5/3,K/32 (Item 1 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00496124 **Image available**

**A SYSTEM AND METHOD FOR IMPLEMENTING AN AUCTION ON A COMPUTER NETWORK
SYSTEME ET PROCEDE DE VENTE AUX ENCHERES DANS UN RESEAU INFORMATIQUE**

Patent Applicant/Assignee:

THE TAYLOR TRUST AS,

KJILNER Eirik,

Inventor(s):

KJILNER Eirik,

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AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES FI GB GD GE GH
GM HR HU ID IL IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN MW
MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG US UZ VN YU ZW
GH GM KE LS MW SD SZ UG ZW AM AZ BY KG KZ MD RU TJ TM AT BE CH CY DE DK
ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF CG CI CM GA GN GW ML MR NE
SN TD TG

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Patent: ... **19990603**

Fulltext Availability:

Detailed Description

Publication Year: **1999**

Detailed Description

... bidder means and communicating the receipts of the bids and the status details of the **auction** to the bidder means, the auctioneer means communicating with the bidder means under the second transport protocol. The **auction** system preferably further includes **administrative** means for processing accounts of the participants and processing information related to the **auction** apart from information communicated between the auctioneer means and the bidder means, and the **auction** means preferably includes **auction** support means for prompting the **auction** means to begin the **auction**, the **auction** support means communicating

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with the **administrative** means on a ...outside the network means and through the network means

In a preferred embodiment of the **auction** system, the network means includes at least one of a telephone network, a public mail...

...second transport

protocol includes a User Datagram Protocol (UDP)

In a preferred embodiment of the **auction** system, the bidder means communicate with the auctioneer means under **auction** protocols layered on top of the first or second transport protocols, and these **auction** protocols may comprise **auction administration** and bidding protocols. Further, the bidding protocols may preferably include parameters comprising a value for...

...means from said bidder means for each of said participants, notions of time of said **auction** by each of said bidder means, identification of winner of said participants, time when said...utilized may comprise the Internet, the first transport protocol then comprising a Transport Control Protocol/ **Internet** Protocol (TCP/IP), and the second transport protocol may comprise a User Datagram Protocol (UDP...

...third aspect of the invention includes carrying out communications related to the bids between the **auction** computer equipment and the input computer equipment under **auction** protocols layered on top of the first or second transport protocols. The **auction** protocols may comprise **auction administration** and bidding protocols. Preferably the bidding protocols include parameters comprising a value for each of the bids, counts of **messages** received by the auctioneer means from the bidder means for each of the participants, notions of time of the **auction** by each of the bidder means, identification of winner of the participants, time when the...no one has made a bid during the first timeout seconds, the organizer of the **auction** is the winner. A third solution is to have a "**house** player" who gives a single bid sometime at the start of the **auction**, and is quiet thereafter. The **house**

player wins on behalf of the **auction** organizer

The **auction** needs to stop some time. Assume there are 3600 players, each with 10 bids available...containing data regarding legitimate participants (players) and one for storin logs, both connected to the **administrative network** server

It is assumed that the **administrative** system distributes a client code to the participants, together with a "name" and (eventually) authentication information, encryption keys etc

At the start of the **auction** the participant must log on to the server with a Login message. The server (auctioneer) will reply with an Accept **message** or an Error **message**

Login = L name port
Accept = A winner start now deadline bids made bids left timeout who serial ...a participant, other types of information, and a credit cheque feature is also included

The **administration** server provides user names, passwords etc. for an **auction** server that has another type of connection to the **network**, i.e. a connection operating under a faster, but less reliable transport protocol. This fast connection takes care of the actual real time bidding process in which **messages** (bids) must be conveyed rapidly to the auctioneer, as well as receipts to the participants...

5/3,K/33 (Item 2 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

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00396572 **Image available**

METHOD AND SYSTEM FOR PROCESSING AND TRANSMITTING ELECTRONIC AUCTION INFORMATION

PROCEDE ET SYSTEME DE TRAITEMENT ET DE TRANSMISSION D'INFORMATIONS POUR DES ENCHERES ELECTRONIQUES

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Patent and Priority Information (Country, Number, Date):

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Application: WO 97US4535 19970319 (PCT/WO US9704535)

Priority Application: US 96623654 19960329; US 96623946 19960329; US 96624259 19960329

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PT RO RU SD SE SG SI SK TJ TM TR TT UA UG US UZ VN YU GH KE LS MW SD SZ

UG AM AZ BY KG KZ MD RU TJ TM AT BE CH DE DK ES FI FR GB GR IE IT LU MC
NL PT SE BF BJ CF CG CI CM GA GN ML MR NE SN TD TG
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Patent: ... 19971009

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Detailed Description

Publication Year: 1997

Detailed Description

... Auctions usually take the form of a physical gathering of bidders assembled together within an **auction house** . Auctions presenting more valuable, collectible merchandise, such as art, coins and antiques, are often preceded...

...a catalog of merchandise, circulated to interested parties in advance of the gathering at the **auction house** , where bidding by those physically present will take place. For auctions of more mundane items...update the World Wide Web pages with current high bid information.

Sales firms other than **auction** houses have also used the Internet's World Wide Web **facility** to post descriptions of their merchandise and to offer the merchandise for sale at a...to the bidder, preferably in the form of a well-formatted page posted across the **network** , itemizing the errors found in the bid. If the bid is valid, as found in...

...bid
database 31.

FIG. 6 provides a detailed illustration of the procedures carried out by **auction manager** 26. **Auction manager** 26 is preferably a continuously running system that begins by getting the current time as...

5/3,K/34 (Item 3 from file: 349)
DIALOG(R) File 349:PCT FULLTEXT
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CREDIT MANAGEMENT FOR ELECTRONIC BROKERAGE SYSTEM
GESTION DE CREDITS POUR SYSTEME DE COURTAGE ELECTRONIQUE

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Patent and Priority Information (Country, Number, Date):

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Priority Application: US 92830408 19920203
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Fulltext Availability:
Detailed Description
Claims
Publication Year: 1993

Detailed Description

... related Trading Floors, in which case the Floor Profile could merely identify a common credit **facility** having a single credit limit for each **Trading** Floor or groups of Trading Floors. The Floor 0 Administrator also selects a warning percentage...

Claim

... 2,
24,26)) based on price quotation messages (MarketView, Fig
2) originating from other said **trading** floors and received over
the communication **network** ,
1 2 credit limit **administration** means (MAN, ARB) connected to the
communication **network** , for determining whether a predetermined
level of credit is currently available (CreditUpdate) from each **trading**
floor to each of the other **trading** floors, and
1 6 dealable price distribution means (MD) connected to said
communication
network and responsive to said price quotation **messages**
1 8 (CreditUpdate) as well as to said credit limit **administration** means
(MAN, ARB) for transmitting dealable price **messages** (MarketView)
to the terminals (WS Albl, WS Alb2) of at least one particular
trading floor (MAN Al b), said dealable price **messages** (MarketView)
being derived only from price quotation **messages** (QuoteSubmit) from
those **trading** floors (MAN Ala) for which said credit **administration**
means (ARB A. MAN Ala, MAN Alb) indicates said predetermined
level of credit is currently available on a bilateral basis both from and
to said particular **trading** floor.
2 The **trading** system of claim 1 , wherein said selected price
information (QuoteSubmit) communicated by the terminal at...

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